



IFW

Attorney Docket No.: PATENT  
SONY-26500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 2194  
Xin Xue et al. ) Examiner: Price, Nathan E.  
Serial No.: 10/666,402 ) **TRANSMITTAL LETTER**  
Filed: September 17, 2003 ) 162 N. Wolfe Road  
For: **MIDDLEWARE FILTER AGENT** ) Sunnyvale, CA 94086  
**BETWEEN SERVER AND PDA** ) (408) 530-9700  
Customer No. 28960

MS: AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. 1.97(e)(2) for the Supplemental Information Disclosure Statement, and Form PTO-1449, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: December 23, 2008

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg No.: 37,902

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 C.F.R. 1.6(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 12/23/08 By: [Signature]



Attorney Docket No.: PATENT  
SONY-26500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 2194
Xin Xue et al.	)	Examiner: Price, Nathan E.
Serial No.: 10/666,402	)	<b><u>CERTIFICATION FOR</u></b>
Filed: September 17, 2003	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
For: <b>MIDDLEWARE FILTER AGENT</b>	)	<b><u>DISCLOSURE STATEMENT UNDER 37</u></b>
<b>BETWEEN SERVER AND PDA</b>	)	<b><u>CFR § 1.97(e)(2)</u></b>
	)	162 N. Wolfe Road
	)	Sunnyvale, CA 94086
	)	(408) 530-9700
	)	Customer No.: 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on October 7, 2008. **Accordingly, I hereby certify that no items contained within this supplemental information disclosure statement were cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, that no items contained within this supplemental information disclosure statement were known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement.**  
**37 C.F.R. 1.97(e)(2)**

Attorney Docket No.: PATENT  
SONY-26500

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: December 23, 2008

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR 1.6(a))**  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

**HAVERSTOCK & OWENS LLP.**

Date: 12/23/08 By: [Signature]



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 2194
Xin Xue et al.	)	Examiner: Price, Nathan E.
Serial No.: 10/666,402	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
Filed: September 17, 2003	)	<b><u>DISCLOSURE STATEMENT</u></b>
For: <b>MIDDLEWARE FILTER AGENT</b>	)	162 N. Wolfe Road
<b>BETWEEN SERVER AND PDA</b>	)	Sunnyvale, CA 94086
	)	(408) 530-9700
	)	Customer No.: 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below may be material to the examination of the above-identified application and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No.: 7,136,934 B2;
- U.S. Publication No.: 2002/0013852 A1;
- U.S. Publication No.: 2002/0073172 A1;
- U.S. Publication No.: 2003/0093488 A1;
- U.S. Publication No.: 2004/0039834 A1;
- U.S. Publication No.: 20050108754 A1; and
- U.S. Publication No.: 2005/0267948 A1.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: December 23, 2008

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 12/23/08 [Signature]